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423.a

REV. 10/01
For Other Than A Small Entity



Docket No. CF-024

Applicant(s) : Andrew H. Pritchard
Application No. : 09/927,628 Confirmation No.: 5363
Filed : August 10, 2001
For : SYSTEMS AND METHODS FOR DEVELOPING
AND ADMINISTERING INVESTMENT TRUSTS
Group Art Unit : 2162
Examiner : Not Yet Assigned

Commissioner for Patents
Washington, D.C. 20231

New York, New York 10020
October 25, 2001

RECEIVED

TRANSMITTAL LETTER

JAN 14 2002

Technology Centsr 2100

Sir:

Transmitted herewith: [] a Preliminary Amendment;
[] a Reply to Office Action; [] a Supplemental Amendment;
[] a substitute Specification; [] a Declaration; [] a
Supplemental Declaration; [] a Power of Attorney; [] an
Associate Power of Attorney; [X] formal drawings*; to be filed
in the above-identified patent application. *Three (3) Sheets,
Figures 1-3.

FEE FOR ADDITIONAL CLAIMS

A fee for additional claims is not required.
 A fee for additional claims is required.

The additional fee has been calculated as shown below:

CLAIMS REMAINING AFTER AMENDMENT	HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE	ADDITIONAL FEES
TOTAL CLAIMS	16	- 20 *	= 0	X \$ 18 = \$0.00
INDEPENDENT CLAIMS	2	- 3 **	= 0	X \$ 84 = \$0.00
FIRST PRESENTATION OF A MULTIPLE DEPENDENT CLAIM				+ \$280 = \$0.00

* If less than 20, insert 20. TOTAL \$0.00

** If less than 3, insert 3.

A check in the amount of \$_____ in payment of the filing fee is transmitted herewith.

The Director is hereby authorized to charge payment of any additional filing fees required under 37 C.F.R. § 1.16, in connection with the paper(s) transmitted herewith, or credit any overpayment of same, to deposit Account No. 06-1075. A duplicate copy of this transmittal letter is transmitted herewith.

Please charge \$_____ to Deposit Account No. 06-1075 in payment of the filing fee. A duplicate copy of this transmittal letter is transmitted herewith.

EXTENSION FEE

The following extension is applicable to the Response filed herewith; \$110.00 extension fee for response within first month pursuant to 37 C.F.R. § 1.136(a); \$400.00 extension fee for response within second month pursuant to 37 C.F.R. § 1.136(a); \$920.00 extension fee for response within third month pursuant to 37 C.F.R. § 1.136(a); \$1,440.00 extension fee for response within fourth month pursuant to 37 C.F.R.

The additional fee has been calculated as shown below:

CLAIMS REMAINING AFTER AMENDMENT	HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE	ADDITIONAL FEES
TOTAL CLAIMS 16	20	*	0	X \$ 18 = \$0.00
INDEPENDENT CLAIMS 2	3	**	0	X \$ 84 = \$0.00
FIRST PRESENTATION OF A MULTIPLE DEPENDENT CLAIM				+ \$280 = \$0.00

* If less than 20, insert 20.

TOTAL \$0.00

** If less than 3, insert 3.

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EXTENSION FEE

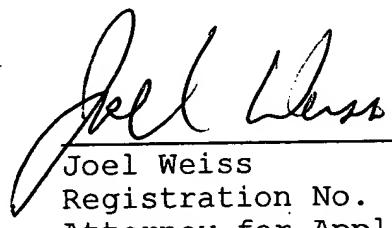
The following extension is applicable to the Response filed herewith; \$110.00 extension fee for response within first month pursuant to 37 C.F.R. § 1.136(a); \$400.00 extension fee for response within second month pursuant to 37 C.F.R. § 1.136(a); \$500.00 extension fee for response within third month pursuant to 37 C.F.R. § 1.136(a); \$1,440.00 extension fee for response within fourth month pursuant to 37 C.F.R.

§ 1.136(a); \$1,960.00 within fifth month pursuant to
37 C.F.R. § 1.136(a).

[] A check in the amount of [] \$110.00; [] \$400.00;
[] \$920.00; [] \$1,440.00; [] \$1,960.00 in payment
of the extension fee is transmitted herewith.

[X] The Director is hereby authorized to charge payment
of any additional fees required under 37 C.F.R. §
1.17 in connection with the paper(s) transmitted
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[] Please charge the [] \$110.00; [] \$400.00;
[] \$920.00; [] \$1,440.00; [] \$1,960.00; extension
fee to Deposit Account No. 06-1075. A duplicate
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herewith.



Joel Weiss
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Attorney for Applicant

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Claire Sainelli
Signature
Signature of Person Signing



JAN 14 2002

Technology Center 2100

PATENTS
CF-24

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT APPLICATION

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Washington, D.C. 20231

SUBMISSION OF FORMAL DRAWINGS

Sir:

Pursuant to 37 C.F.R. § 1.85, applicant herewith furnishes three (3) sheets of formal drawings, to be substituted for the three (3) sheets of informal drawings filed with the above-identified patent application.

Respectfully submitted,

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Signature of Person Signing